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Improving Human Subjects Research Office at RIT's Website

By

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Project submitted in partial requirements for the degree of

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Abstract

You should include an abstract as part of your capstone proposal.

1. Introduction

1.1. Background

All researchers conducting research activities involving human subjects or human material at Rochester Institute of Technology (RIT) must gain approval from Human Subject Research Office (HSRO) before they begin their research. The purpose of the review is to protect the rights, safety, and welfare of every participant taking part in the research. It is a serious matter, so the reviewing process is thorough. Therefore, preparing the application and collecting all required documents could be time-consuming and intimidating for researchers.

HSRO published all information related to human subjects research on their website.

Researchers planning to submit their applications to HSRO would also be recommended to visit the website for guidance. HSRO website plays an essential role in providing information to researchers and research participants. However, the overall design of the website was made more than 10 years ago. The old design could cause issues for users nowadays, and thus causing troubles and hindering their application process. A new design with user experience in mind could help researchers find information on the website more efficiently. HSRO could also benefit from receiving less inquiry from confused users.

1.2. User-Centered Design Procedure

User-centered design is defined as "an approach to design that grounds the process in information about the people who will use the product. UCD processes focus on users through the planning, design, and development of a product." (Keinonen, 2008) What sets the user-centered design apart from other design methodologies is representative users are welcome to actively participate in the design process (Kemnitzer, 2005). This project used a user-centered design to renovate the HSRO website. Participants were invited to join different design activities to offer feedback.

a. Interview the manager at HSRO
 The director of HSRO, Heather Foti, personally handles the website and all application

paperwork, so she is an excellent source of the background and structure of HSRO.

b. Develop user personas

Idoughi et al. (2011) defined user persona as "a descriptive model of the user, encompassing information such as user characteristics, goals and needs." Personas help designers build connections with users to focus on users' needs and avoid self-referential design (Miaskiewicz & Kozar, 2011). The personas in this project will be developed based on feedback from users and HSRO.

c. Usability test 1 (Current website)

Knowing how users interact with the current website and identifying existing issues provide valuable data, which could help develop the new design.

d. Develop navigation structure

The navigation structure is crucial for a website's success because, when done properly, it could lead users to the information they seek and make the process easy and smooth(Machlis, 1998).

e. Design document

The design document includes wireframes, audience definition, and competitive analysis. The prototype and website will be built based on this document. It could also become a resource for people who would like to further develop the website in the future.

f. Prototype

One prototype for desktop computers and one for smartphones were made for the following usability tests. The prototype was enhanced after each test.

g. Usability test 2 (on the prototype)

6 participants were invited to perform various tasks on the prototype to uncover issues and provide inputs for the next prototype.

h. Making adjustments

Based on issues found in usability test 2, adjustments and bug fixes were made accordingly. The adjusted prototype was tested in usability test 3.

i. Usability test 3

The usability test was conducted to validate the changes made after usability test 2 and uncover more issues.

j. Making adjustments

Based on usability test 2 and usability test 3, adjustments and bug fixes were made accordingly.

1.3. Goals

- Provide a functioning interface for mobile devices.
- Develop a new navigation structure and limit the number of items in the new menu to under seven.
- Use an interface design that is consistent with the current RIT website style.

2. User Research

2.1. Interview With Human Subjects Research Office

HSRO is currently managed by the director, Heather Foti, who is also in charge of the website and application. She has worked in HSRO for 15 years, so she is experienced in different aspects of the business in HSRO.

The interview was conducted on Zoom.

- About the Director
 - Heather Foti
 - She has been working in HSRO for 15 years.
 - Main content manager of the website
- Website Management
 - o The website was last redesigned about 10 years ago.
 - Sometimes ITS would help maintain the website, but Director Foti is in charge of updating content on the website.
 - Director Foti mentioned that she does not always understand how Drupal works, and
 Drupal does not give her enough control.
 - The website usually needs to be updated (adding news...) 3 to 4 times a year depending on policy changes.
- Possible new features and enhancements
 - Add more video descriptions/slides/presentation recordings/more documentation and materials on the website.

- Restructure the menu
- o A block for the latest news on the homepage
- A platform to match researchers and participants since some researchers face trouble finding participants.

Known issues

- Broken links
- Old forms still existed somewhere on the web, so some people could still download the outdated forms, but ITS and Heather could not resolve the issue.

Goals

 For Director Foti, the website's goal is to educate people HOW to conduct human subject research and WHY these procedures are essential.

Director Foti mentioned that the control panel of Drupal is not very intuitive, which makes it difficult for her to manage the website. Even though she would like to include more multimedia content on the website, she needs more technical support.

After the interview, it is easy to see the reason behind the current presentation of the website. It was developed 10 years ago when mobile devices were not as prevalent as nowadays, so there was little demand for a mobile version. The default web content management system for RIT's website, Drupal, also caused Director Foti many troubles. Although this project focused more on the user experience of this website's audiences, this issue also needs to be addressed because it stops the content manager from releasing multimedia materials that could benefit target audiences.

2.2. Understand Users

Existing materials, questionnaires, and observations are all common ways to collect data to develop personas (Nielsen, 2013). In this project, users' information was collected through:

- Director Foti's response
- Existing materials
- Qualitative survey

2.2.1. Director Foti's response

- IRB applicants at RIT are from across different departments. They do not come from just one or a few specific professions, and the topics are very diversified.
- Applicants come from undergraduate students, graduate students, and faculty, so the age range was extensive.
- Many researchers would benefit from a platform to recruit participants.
- Their primary form of communication is through emails.

2.2.2. Existing materials about potential users

- Investigators often get the impression that IRBs are slow and cumbersome (Whitney et al., 2008).
- Investigators also bear the responsibility of slowing down the IRB reviewing process by not providing adequate supporting materials (Liberale & Kovach, 2017).
- It is common for investigators to experience anxiety when preparing their IRB applications (Sutton, 2020).

2.2.3. Qualitative survey

The survey focused on getting users' opinions and their experiences on using the HSRO website. Aside from background information questions, the survey consisted of 5 multiple choice questions and four open-ended questions. The survey link was posted on social media such as Facebook groups and WhatsApp for five days to find students who had visited the HSRO website before. Although the response rate was low, the answers were consistent. There was a total of 4 effective responses, excluding unfinished ones.

Even though the number of responses could not provide a statistically significant result, frequent issues in the responses also deserved attention.

When asked about the overall experience of using the website, their responses were mostly negative. None of them thought the HSRO website was easy to use, and 3 out of 4 were somewhat dissatisfied with the website. 3 out of 4 responses described their first impression of the website as "old" or "outdated," and 1 described it as "messy." Only Response 1 was somewhat satisfied with the website and said, "Though seemed outdated, I got all the documents (forms) I needed without too much difficulty. The checklist also helped." The other three

responses did not provide anything they liked about the website. 2 main issues were mentioned more than once in the question about the least likable part of the website. First, two respondents felt the navigation or the side panel was irritating and annoying, especially on mobile devices. Second, the content was described as "wordy" and "complex." When asked about how this website compared to their expectations, the responses were mostly negative. 2 respondents said HSRO's website's style was inconsistent with other RIT websites. 2 respondents complained about how exhausting and time-consuming it was to find information on the website. Response 1 even said, "I wouldn't want to visit the website again because it's wordy. Writing IRB application is a tiring task, using the office of human subjects research's website makes it more tiring."

	Response 1	Response 2	Response 3	Response 4
How easy was it to use HSRO's website?	Neither easy nor difficult	Somewhat difficult	Somewhat difficult	Somewhat difficult
How satisfied were you with HSRO's website?	Somewhat satisfied	Somewhat dissatisfied	Somewhat dissatisfied	Somewhat dissatisfied
What was your first impression when you entered the website?	It looks outdated. I was worried whether it'd be difficult to find the information I needed.	Messy, hard to read on mobile	The information seemed outdated based on the design of the page as compared to the rest of the RIT website	Old, lots of text
What do you like the most about this website?	Though seemed outdated, I got all the documents (forms) I needed without too much difficulty. The checklist also helped.			
What do you like the least about this website?	The outdated look made me wonder if it was deprecated and the "real" website was somewhere else. This kind of things often happens. Also, it's wordy.	Irritating side panel, complex information	Navigation, especially on mobile, is annoying. The contents are pushed to the side and one has to scroll horizontally to see all the information.	I hope there are shorter answers

How did your experience on this website compare to your expectations?	"It was okay. I got the information I needed and completed my application. But I wouldn't want to visit the website again because it's wordy. Writing IRB application is	Unsatisfactory. Took very long to figure out what I needed to send	I expected a more mobile friendly and updated appearance that follows the more common RIT design encountered on www.rit.edu	This website does not look like other RIT websites at all.
	IRB application is a tiring task, using the office of human subjects research's website makes it more tiring."			

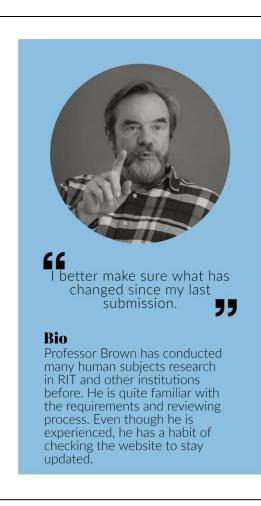
TABLE 1USER SURVEY RESPONSES

2.3. Personas

2.3.1. Persona 1: Ronald Brown: An experienced professor

Professor Ronald Brown has been teaching politics for 25 years, during which he conducted and supervised multiple human-subject research. Many students in the politics department are also doing human-subjects research, and they would come to him for advice. Even though Professor Brown is quite familiar with the IRB application process, he usually would advise his students to check out the website for information. He, himself, also has a habit of making sure all information is up to date before telling his students.

Professor Brown is 58 years old. He noticed he had presbyopia in recent years. He could not read clearly when the books were close, but when he moved the book further away, the letters became too small. A bigger font size could help him read more comfortably.



Ronald Brown

58 • Professor

Motivations

CONVENIENCE * * * *

INFORMATION * * * *

EFFICIENCY * * *

EASE OF USE * * * *

Goals

- to check new policies and download new forms
- to help students find the information and give them the link

Pain Points

- poor eyesight
- trouble remembering where the information was on the website



FIGURE 1 RONALD BROWN: AN EXPERIENCED PROFESSOR

2.3.2. Persona 2 Varun Kapoor: a time-conscious graduate student

Varun Kapoor is a second-year graduate student in Human-Computer Interaction. He is busy working on his capstone project about how students use their smartphones to participate in an online class. He is planning to interview ten students on campus, but before that, he needs to get approval from HSRO.

Varun gained knowledge about IRB from his research methods class, but he has never interacted with HSRO or IRB before. Although he has little experience submitting his application to HSRO, he is really good at searching for information on the internet. However, the application process differs from institution to institution. He has to visit the HSRO website to make sure he does not make any mistakes that would hinder his project. He wants to get this project done and

graduate as soon as possible, so he could not afford any delays. He hopes that the information on the HSRO website is up-to-date and correct.



I just want to figure out how to submit my application.

Bio

Varun is a 2nd-year graduate student in Human-Computer Interaction. He has some knowledge about IRB from his previous courses but has never submitted an application before. His capstone project involves human subjects, and he wants to get this done fast.

Varun Kapoor

26 • 2nd Year Graduate Student

Motivations

 CONVENIENCE
 * * *

 INFORMATION
 * * * *

 EFFICIENCY
 * * * *

 EASE OF USE
 * * *

Goals

- to submit his application as soon as possible
- to get his research approved as soon as possible

Pain Points

- lack of experience in the submission process
- he has very limited time because he wants to graduate sooner.



FIGURE 2 PERSONA 1 VARUN KAPOOR: A TIME CONSCIOUS GRADUATE STUDENT

2.3.3. Persona 3: Ngoc-Bich Nguyen: A diligent research assistant

Ngoc-Bich is a fifth-year senior student. She is an excellent student and frequently makes it to the dean's list. She plans to keep pursuing a graduate degree in the future, so she got a research assistant job on campus, trying to gain more experience in scholarly work. She is excellent at her work, and her professors trust her with different tasks.

Ngoc-Bich sometimes will assist a professor working on human subjects research. Since she holds herself to a high standard, she would like to educate herself more about human subjects research. As a Gen Z student, she is used to watching video tutorials and infographics. She finds visual and audio inputs help her understand content more efficiently. For Ngoc-Bich, the mobile

phone is not only a device for communication and entertainment, but also is a great tool for education. She would appreciate a more mobile-friendly website.

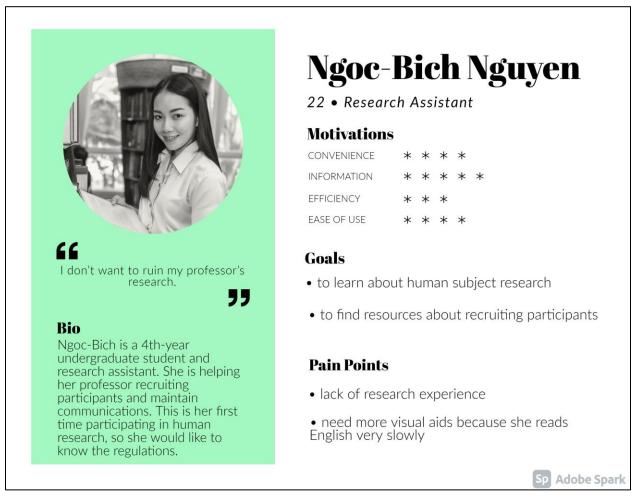


FIGURE 3 PERSONA 3: NGOC-BICH NGUYEN: A DILIGENT RESEARCH ASSISTANT

3. Develop Navigation Structure

3.1. Unmoderated Open Card Sorting

Card sorting is used by information architects to organize information items, features, and functions in a way that is easy for users to find. An open card sorting usually starts by asking participants to sort the cards prepared by researchers into piles that make sense for the participants. And then, the participants will be asked to name each pile. A closed card sorting is when participants are invited to sort the cards into a set of pre-existing categories or structures (Wood & Wood, 2008).

In this study, the card sorting was unmoderated. Participants were asked to complete the activity on their own through UX Metrics. The recruitment message was posted on social media.

Twenty-eight cards were created based on the original menu items on the HSRO website. Descriptions were provided to some terminologies to help users understand the content.

3.2. Card sorting result

Five responses were collected. The medium time to complete is 24 minutes 3 seconds. Thirty-five unique groups were created. After merging conceptually similar groups, such as sample and samples, informed consent and consent, there were twenty-five unique groups left. One participant did not name his/her groups, so eight groups were simply named G1 to G8.

Group Name	Created by	Cards added	Frequency
Informed consent	3 Participant	Assent Tips	2 time
		Sample Assent Form	2 time
		Documentation of Research	1 time
		Waiver of the Requirements to Obtain Informed Consent	2 time
		Consent Form Requirements for Non-Exempt Research	3 time
		The Informed Consent Process with Children	2 time
		Informed Consent Sample for Non-Exempt Research	2 time
		Submission Checklist	1 time
		Exempt Informed Consent Samples	2 time
Application processes	3 Participant	Documentation of Research	2 time
		Submission Checklist	3 time
		Tips for completing the application form	3 time
		IRB Application Forms	1 time
		Training Information	3 time
		Procedures for submitting application	3 time
Definition	3 Participant	Definition of NIH-Funded Clinical Research	3 time
		Principles for Reviewing Research	1 time
		Review Categories	1 time
		Types of Review	1 time
		Exempt Research	1 time
		Information for Single IRB (sIRB) Requirement	1 time
		Definition of research and human subjects	3 time
		Identifying risks in research	1 time
IRB	2 Participants	Information for Single IRB (sIRB) Requirement	2 times
		IRB Application Forms	2 times
		Review Categories	1 time

Group Name Created by Cards added		Cards added	Frequency
		Background of IRB Committees	1 time
I don't know.	2 Participant	Definition of research and human subjects	1 time
		Exempt Research	1 time
		Identifying risks in research	1 time
		RIT's Federalwide Award Number	1 time
		Definition of NIH-Funded Clinical Research	1 time
HSRO	2 Participant	HSRO's responsibilities	2 time
		Types of Review	1 time
		Background of HSRO	2 time
		Background of IRB Committees	1 time
		Principles for Reviewing Research	1 time
SAMPLE	2 Participant	Waiver of the Requirements to Obtain Informed Consent	1 time
		Exempt Informed Consent Samples	2 time
		Exempt Research	2 time
		Consent Form Requirements for Non-Exempt Research	1 time
		The Informed Consent Process with Children	1 time
		Informed Consent Sample for Non-Exempt Research	2 time
Background information	2 Participant	Background of IRB Committees	2 time
		RIT's Federalwide Award Number	1 time
		Background of HSRO	2 time
		Contact Information	1 time
		News	1 time
		HSRO's responsibilities	2 time
		FAQ	1 time
Information	1 Participant	FAQ	1 time
		Contact Information	1 time
		RIT's Federalwide Award Number	1 time
Assent	1 Participant	Assent Tips	1 time
		Sample Assent Form	1 time
News	1 Participant	News	1 time
The informed Consent Process with Children	1 Participant	Waiver of the Requirements to Obtain Informed Consent	1 time
		Sample Assent Form	1 time
		The Informed Consent Process with Children	1 time
		Assent Tips	1 time
METHOD	1 Participant	Principles for Reviewing Research	1 time
		Identifying risks in research	1 time
		Training Information	1 time
		Tips for completing the application form	1 time
		Review Categories	1 time

Types of Review INFORM 1 Participant IRB Application For Contact Information Information for Sir Procedures for sub News QUESTION 1 Participant FAQ Documentation of RIT's Federalwide	n 1 time gle IRB (sIRB) Requirement 1 time mitting application 1 time 1 time 1 time Research 1 time Award Number 1 time
Contact Information Information for Sir Procedures for sub News QUESTION 1 Participant FAQ Documentation of	n 1 time gle IRB (sIRB) Requirement 1 time mitting application 1 time 1 time 1 time Research 1 time Award Number 1 time
Information for Sir Procedures for sub News QUESTION 1 Participant FAQ Documentation of	gle IRB (sIRB) Requirement 1 time mitting application 1 time 1 time 1 time Research 1 time Award Number 1 time
Procedures for sub News QUESTION 1 Participant FAQ Documentation of	mitting application 1 time 1 time 1 time 1 time Research 1 time Award Number 1 time
QUESTION 1 Participant FAQ Documentation of	1 time 1 time Research 1 time Award Number 1 time
QUESTION 1 Participant FAQ Documentation of	1 time Research 1 time Award Number 1 time
Documentation of	Research 1 time Award Number 1 time
	Award Number 1 time
RIT's Federalwide	
	n 1 time
basic information 1 Participant Contact Informatio	
News	1 time
FAQ	1 time
Review 1 Participant Review Categories	1 time
Identifying risks in	research 1 time
Types of Review	1 time
Principles for Revi	ewing Research 1 time
G1 1 Participant Submission Check	
RIT's Federalwide	Award Number 1 time
Review Categories	1 time
G2 1 Participant Background of IRE	3 Committees 1 time
Background of HS	
G3 1 Participant Training Information	
Contact Informatio	
FAQ	1 time
News	1 time
Principles for Revi	
G4 1 Participant Sample Assent For	
• •	nirements to Obtain Informed 1 time
IRB Application Fe	orms 1 time
Documentation of	
	g the application form 1 time
Assent Tips	1 time
Identifying risks in	
G6 1 Participant Procedures for sub-	
	rch and human subjects 1 time
	Funded Clinical Research 1 time
	sent Process with Children 1 time
Exempt Informed Com	
_	uirements for Non-Exempt Research 1 time
	Sample for Non-Exempt Research 1 time

Group Name	Created by	Cards added	Frequency
		Exempt Research	1 time
G8	1 Participant	HSRO's responsibilities	1 time
		Information for Single IRB (sIRB) Requirement	1 time
		Types of Review	1 time

TABLE 2 CARD SORTING RESULT

Some cards are frequently grouped together, although under different group names.

Five participants grouped these cards.

Informed Consent Sample for Non-Exempt Research

Exempt Informed Consent Samples

Definition of Research and Human Subjects

Definition of NIH-Funded Clinical Research

Assent Tips

Sample Assent Form

Four participants grouped these cards.

- 1. Background of IRB Committees
- 2. Background of HSRO
- 3. HSRO's responsibilities
- 1. FAO
- 2. Contact Information
- 1. Consent Form Requirements for Non-Exempt Research
- 2. The Informed Consent Process with Children
- 3. Informed Consent Sample for Non-Exempt Research
- 4. Exempt Informed Consent Samples

Three participants grouped these cards

- 1. Submission Checklist
- 2. Tips for completing the application form
- 3. Training Information
- 4. Procedures for submitting application

- 1. Types of Review
- 2. Principles for Reviewing Research
- 3. Identifying Risks in Research
- 1. Exempt Informed Consent Samples
- 2. Waiver of the Requirements to Obtain Informed Consent
- 3. Consent Form Requirements for Non-Exempt Research
- 4. The Informed Consent Process with Children
- 5. Informed Consent Sample for Non-Exempt Research

The reason why the results did not seem quite conclusive could be because 1) Not enough responses 2) participants were not given a specific context. When the participant was sorting with a mindset that was different from real-world tasks or they only considered surface characteristics, such as similar wordings, the result may not be usable (Spencer & Warfel, 2004).



FIGURE 4 THE SUBMUNE OF ABOUT THE HSRO ON THE WEBSITE

On the current HSRO website, *About the HSRO*, *Office Role and Responsibilities*, *Contact*, and *IRB Committees* were in the same submenu. However, according to the card sorting result, only one out of five participants grouped Contact with the other three cards, and four out of five participants grouped *Contact* with *FAQ*.

4. Usability Test (on the current HSRO website)

4.1. Task Design

The scenario set is an inexperienced student trying to submit an IRB application from determining what kind of project is required to be reviewed by HSRO to actually submit an application. The participants were asked to think aloud while completing the tasks, so there were encouraged to share their thoughts, feelings, or even suggestions with the moderator. Total 6 participants were invited to the test. All tests were held remotely on Zoom or Google meet; 3 used their laptop or desktop to test; 3 used their mobile device.

Imagine you are a freshman who has minimal knowledge of research or human subjects research...

#	Task description	Task goal
1	Do you need to submit your project for review?	Users can find information about
	You want to study a topic about how digital products affect	if they need or need not submit
	kindergarten children. You want to observe and interview 10	their research for review when
	children. You are not sure if your project needs to be reviewed	they have a research idea in mind.
	by HSRO, so you go to Human Subjects Research Office	
	website to find out.	
2	What if, after reading the definition of research and human	Users can find contact
	subjects, you still have some doubts. What would you do next?	information and seek help.
3	Now, you know you need to submit your research for review.	Users can find submission
	What will you do next?	information.
4	You are working on the application form, and you see this	Users can find the information to
	question on the application form:	fill out the application form based
	"If you believe your project qualifies for Exemption, which	on the instruction provided.
	exemption number(s) apply?	
	*The RIT Institutional Review Board (IRB) categorizes Human	
	Subjects Research into three Risk Types (Exempt, No Greater	
	than Minimal Risk, and Greater than Minimal Risk). The IRB	
	makes the final determination of risk type. For classifications,	
	please see the RIT HSRO website Types of Review."	
	Please find the exemption numbers on the website.	
5	You realized that you need to collect parental informed	Users can find instructions on
	consent; how would you start?	how to draft a parental informed
		consent.
6	You have all your application forms, consent forms, and	Users can find the submission
	supporting materials ready. You would like to check if any	checklist.
	document is missing. What could you do?	
7	Great! You have everything ready. Where would you send your	Users can find the email to send
	application to?	their applications to.

TABLE 3 USABILITY TEST TASKS

4.2. Usability Test 1 Result

	P1	P2	P3	P4	P5	P6
	Desktop	Desktop	Desktop	Smartphone	Smartphone	iPad
1	448 seconds Fail P1 clicked Home, Types of Review, Institutional Review Board, but she could not find the information, so she started to click the menu one by one. P1 found Submitting your research, but P1 was still not sure. P1 said, "At this point, I think I would be inclined myself to contact somebody in the office."	492 seconds Success P2 clicked Home, About HSRO, Institutional Review Board, Checklist, and then he found "How do I know if my project needs to be reviewed?" in FAQ.	692 seconds Fail P3 clicked Types of Review and spent some time Exemption Category, Expedited Category, and Review Categories but still unsure.	515 seconds Success P4 clicked Institutional review board and was frustrated with the menu showing up when unneeded. P4 was not happy that the website was not responsive, so she switched to landscape view. She clicked Submitting your research and found a line on the page with an answer.	605 seconds Fail P5 first clicked Training but did not find anything useful. She then clicked Types of Review. After reading the information on the types of review page, P5 thought a review would be needed, even though P5 was still not sure.	99 seconds Success P6 read the bullet points on the home page and then went to FAQ. He immediately found "How do I know if my project needs to be reviewed?" on the page.
2	6 seconds Success P1 clicked Contact on the menu and found the email and numbers.	54 seconds Success P2 scrolled down because he expected the contact information would be at the bottom. He did not notice Contact in the menu, but he remembered seeing the information in About	5 seconds Success P3 clicked Contact on the menu and found the email and numbers.	12 seconds Success P4 clicked Contact on the menu and found the email and numbers.	10 seconds Success P5 clicked Contact on the menu and found the email and numbers.	3 seconds Success P6 clicked Contact on the menu and found the email and numbers.

		the HSRO and found				
3	287 seconds Success P1 clicked Submitting Your Research and read the procedures. Then, she found the application forms and training info successfully.	it. 288 seconds Success P2 saw Form A in the Checklist but was not sure what Form A was. He went to IRB Forms and used ctrl+f to find Form A. P2 found two different Form As, so he downloaded both to see the difference.	Fail P3 clicked Submitting Your Research. P3 expected to see clear Step 1, 2, 3, but the information was in blocks of texts. "It is not easy for me to quickly scan the page." When I told P3, "there is an application form on the website," P3 clicked the directory on top, which is actually for RIT's website, not HSRO. Then P3 found the checklist and Form A.	747 seconds Success P4 clicked Submitting Your Research in the hamburger menu. The submenu did not show properly on mobile devices, so P4 did not notice a submenu. And then she went to Checklist. P4 saw Form A and expected a link to it, but links were not provided. P4 went to Resources and then IRB Forms to find Form A. P4 eventually found 2 Form As but did not know the difference.	418 seconds Fail P5 clicked IRB Forms and then downloaded Submission Checklist. P5 went to download Form A according to the checklist but did not know what NTID Form A was. P5 believed that the checklist did not provide enough guidance for her to complete the submission.	199 seconds Success P6 clicked Submission Checklist. P6 saw Form A on the checklist and went to IRB Forms to find download links.
4	30 seconds Success P1 clicked Types of Review and then Exemption Categories.	83 seconds Success P2 clicked Types of Review and then Exemption Categories.	37 seconds Success P3 clicked Types of Review and then Exemption Categories.	26 seconds Success P4 clicked Types of Review and then Exemption Categories.	Pail P5 went to About the HSRO and couldn't find the exemption numbers, so P5 decided to give up and contact the office.	112 seconds Success P6 clicked Types of Review and then Exemption Categories.
5	138 seconds Fail	147 seconds Success	83 seconds Fail	106 seconds Success	122 seconds Fail	98 seconds Success

	P1 found general guidelines about informed consent but did not find parental informed consent samples.	P2 google searched "RIT parental informed consent."	P3 first checked Informed Consent and found Exempt Research Informed Consent Example. P3 thought this could be useful for drafting a parental consent and did not continue looking for the parental informed consent sample.	P4 clicked <i>IRB</i> Forms but did not find anything. She then went to Resources and found the information.	P5 clicked <i>Informed Consent</i> , but the information was too general. P5 thought there was no sample on the website and was frustrated.	P6 clicked Informed Consent and then Informed Consent Process with Children. After I told P6 that there was a sample on the website, P6 went to Resources and found samples.
6	3 seconds Success P1 clicked Submission Checklist in the menu.	5 seconds Success P2 clicked Submission Checklist in the menu.	8 seconds Success P3 clicked Submission Checklist in the menu.	5 seconds Success P4 clicked Submission Checklist in the menu.	3 seconds Success P5 clicked Submission Checklist in the menu.	5 seconds Success P6 clicked Submission Checklist in the menu.
7	120 seconds Success P1 went to Submitting Your Research and then Institutional Review Board, where she found a broken link. She went to Standard Operation Procedures. It took P1 30 seconds to locate the email address on this page.	186 seconds Success P2 first went to Checklist, expecting submission detail, but it was not there. Finally, P2 found the email in IRB forms	200 seconds Success P3 remembered seeing the information before but could not find it anymore. Finally, P3 found the email in the FAQ.	220 seconds Success P4 clicked Submitting Your Research and expected to see a submit button. P4 noticed the submenu at the bottom and found the email in Standard Operation Procedure.	10 seconds Fail P5 decided to send the application to the person in <i>Contact</i> .	209 seconds Success P6 clicked Submitting Your Research but could not find a link to submit the research. He then clicked Institutional Review Board and then Home, where P6 found the email for submission.

Note	P2 expected to see a download link when seeing Form A. P2 preferred to see clear step1,2,3 instructions.	P3 said the font size is too small. "Too wordy. I don't know where to start." P3 found 2 "Types of Reviews" in the menu and was confused.	P4 said, "Information is hidden in wordy paragraphs." P4 did not want to download the checklist. When P4 saw the checklist, she immediately asked, "Where is Form A? What is Form A?"	P5 complained about how difficult it was to browse the website on her phone and felt irritated. P5 preferred to see the checklist on the website, not on a pdf document. P5 was expecting a page for all downloadable content on the website.	P6 said, "Probably helpful if there was a link to Form A."
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TABLE 4 USABILITY TEST 1 NOTE

4.3. Issues Found in Usability Test 1

All 6 participants were aged between 20 to 40 years old and had not visited the HSRO website before. Three of them were RIT students, and the other three were recent college graduates and school faculty. Three participants successfully completed seven tasks.

#	Task description	Number of participants who failed
1	Do you need to submit your project for review?	3
	You want to study a topic about how digital products affect	
	kindergarten children. You want to observe and interview 10	
	children. You are not sure if your project needs to be reviewed	
	by HSRO, so you go to Human Subjects Research Office	
	website to find out.	
2	What if, after reading the definition of research and human	0
	subjects, you still have some doubts. What would you do next?	
3	Now, you know you need to submit your research for review.	2
	What will you do next?	
4	You are working on the application form, and you see this	1
	question on the application form:	
	"If you believe your project qualifies for Exemption, which	
	exemption number(s) apply?	
	*The RIT Institutional Review Board (IRB) categorizes Human	
	Subjects Research into three Risk Types (Exempt, No Greater	
	than Minimal Risk, and Greater than Minimal Risk). The IRB	
	makes the final determination of risk type. For classifications,	
	please see the RIT HSRO website Types of Review."	
	Please find the exemption numbers on the website.	
5	You realized that you need to collect parental informed consent;	3
	how would you start?	

#	Task description	Number of participants who failed
6	You have all your application forms, consent forms, and	0
	supporting materials ready. You would like to check if any	
	document is missing. What could you do?	
7	Great! You have everything ready. Where would you send your	1
	application to?	

TABLE 5 USABILITY TEST 1, NUMBER OF PARTICIPANTS WHO FAILED

• The website is not responsive.

P4 and P5 used their smartphone to test the website. They both showed frustration when reading on the website because they had to scroll left and right to see the full content (Figure 5), and the menu would slide open unexpectedly.



FIGURE 5 THE WEBSITE IS NOT RESPONSIVE.

When users clicked the hamburger menu icon, a black sidebar menu would appear. However, the menu did not show all items (Figure 6). Missing items in the mobile menu contained crucial information for users. Although a complete menu could be found at the

bottom of the page (Figure 7), the placement was not consistent with most users' experience, so both P4 and P5 did not notice the menu at the bottom.

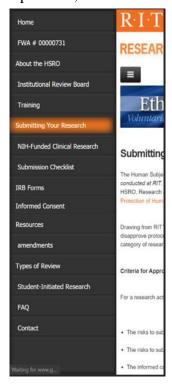


FIGURE 6 THE SUBMENU OF SUBMITTING YOUR RESEARCH WAS NOT DISPLAYED IN THE SIDEBAR MENU.

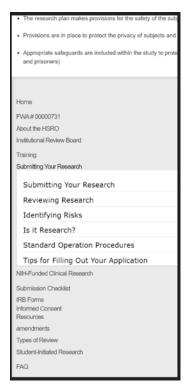


FIGURE 7 THE SUBMENU WAS SHOWN AT THE BOTTOM OF THE PAGE, WHICH WAS NOT EASILY NOTICEABLE.

• Unclear names of menu items

- o P3 found two different *Contact* in the menu and was confused.
- P4 saw "FWA # 00000731" in the menu and clicked on it, and still had no idea what it was.
- P1 and P3 failed to find the sample of parental informed consent because they thought parental informed consent would also be on the *Informed Consent* page. However, the sample was in *Resources*.

• Links were not provided when needed.

P2, P4, and P6 saw *Form A* in different places on the website, but they all expected to see a link directing them to Form A, so they would not have to spend extra effort to find it.

• Lack of visual hierarchy

All participants, at some point during the test, complained that there were too many words. P2 specifically said that without bullet points and large headings, it was hard to

locate information. P4 also said, "Submission link or email is essential information. They should be more obvious and easier to find."

Font Size

P3 complained that the font size was too small. According to Accessibility at Penn State | Font Size on the Web, 12pt (=16px) is generally recommended for body text. However, the font size on the HSRO website was 13px, which was smaller than recommended.

• Lack of clear step-by-step instructions.

P2 and P3 both expected to see clear step-by-step instructions in the Submitting Your Research tab. They felt they read a lot but still had no clue where to start.

Unclear names of downloadable files.

All 6 participants did not know what *NTID Form A* was when they saw two different *Form As* at first sight. The orange links quickly grabbed their attention because they were looking for download links, so they missed the descriptions on top.

• Similar contents were not grouped together.

Information about informed consent was in both the *Informed Consent* page and the *Resources* page. When users read the content in *Informed Consent*, they might think that was all the information about informed consent on this website. However, the samples and templates were in the *Resources* tab.

5. Develop Prototype

The user survey revealed that the HSRO website did not conform to the RIT website's style and looked outdated. Therefore, the new design will adopt the RIT website's style and follow RIT branding's style guide.



FIGURE 8 RIT HOME PAGE

5.1. Design example

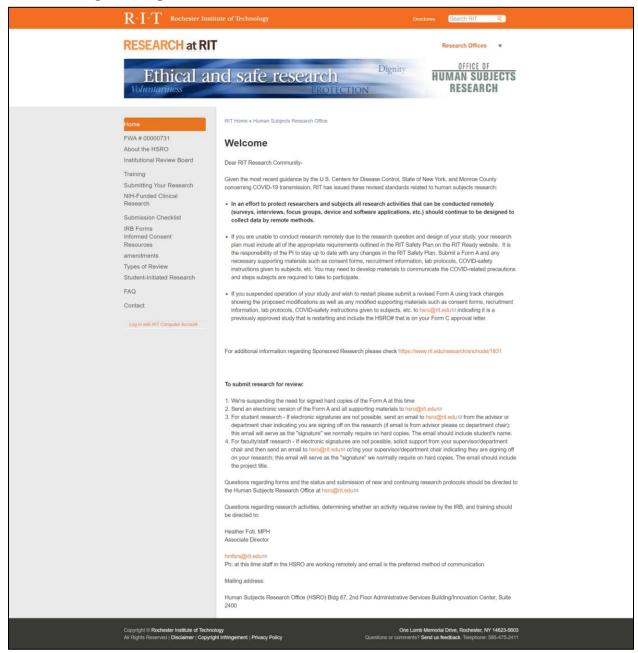


FIGURE 9 SCREENSHOT OF THE ORIGINAL HSRO WEBSITE

Figure 10 is a new design of the same content from the original HSRO website (Figure 9).



A clear and descriptive title and a relevant image of COVID -19 Updates were added to the page. The first part of this page was about three revised standards. Instead of simply listing them out with bullet points using the same font, the new design includes a bolded summarize line after each bullet point, so users could read the bolded line then decide if they need to read the whole paragraph. Every Form A on this page has turned into a hyperlink to help users locate the application form. On the old website, contact information stuck right after the submission process, so the paragraph looked long. Separating contact information and the submission process into two different sections could help users locate the information more easily.

6. Usability Test 2 (on the prototype)

In usability tests 2 and 3, participants were asked to perform the same tasks as in usability test 1. 6 participants were invited to each test. Just like usability test 1, 3 participants were asked to test the desktop version, and the other 3 participants were asked to test the mobile version.

Participants' think-aloud processes were recorded on zoom or google meet, and participants interacted with the prototype through Maze. Maze determines a task is successful or not by if the user reaches specific destinations on the website. However, in this usability test, the goal was not only to reach certain contents on the website; the goal was for the users to actually see the information. Therefore, some adjustments needed to be made to have the test run smoothly. A green finish button (Figure 11) was added on the upper right corner of the prototype where no content was blocked. When the participants found the key information or they would like to give up, they could click the green finish button, and it would lead to the next task.

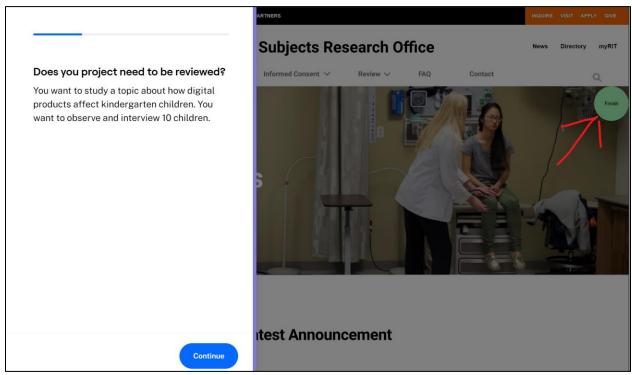


FIGURE 11 THE GREEN FINISH BUTTON ON THE PROTOTYPE

6.1. Usability Test 2 Result

	P7	P8	P9	P10	P11	P12
		Desktop		Smartphone		
1	685.3 seconds Success P7 spent some time exploring the website before she began. Her first instinct was to click <i>About</i> because she thought if she knew what this office was doing, then she would know if her project was part of their business.	482.1 seconds Fail P8 clicked on every item in the main menu. She thought Submission could help her with the submission process but not to decide whether she needed to submit or not.	100.6 seconds Success P9 used the popular resources on the home page and clicked FAQ to find the answer.	254.1 seconds Success When P10 visited a new website, he usually would scroll around to see big titles on the page. He did not find the information in Informed Consent and Submission Overview, so he said he would use ctrl+F or search to find the keyword	170.4 seconds Success P11 clicked the menu to see what was in there. P11 thought the font was too small, so he enlarged the window. He clicked the Step-by-Step Guide and found the answer in Step 1.	40.5 seconds Fail P12 scrolled to the Popular Resources section and said, "They don't seem relevant to this question." She then clicked Finish because she did not realize the hamburger menu was working.
2	22.9 seconds Success P7 clicked Contact immediately, but she spent some time reading the descriptions on the page.	548.4 seconds Success P8 would rather carefully read everything in the About section before reaching out for help. When I asked her to contact the office, she immediately found the Contact page. She felt that the purposes for the two emails could be more precise.	117.7 seconds Success In the beginning, P9 could not find his way back to the home page. After a short while, he found Contact.	find the keyword. 60.6 seconds Success P10 usually would go to FAQ or Contact when he has questions, and he found both.	27.1 seconds Success P11 went to Contact and found the phone number. He preferred a phone number because it was faster to get an answer from a person.	122.5 seconds Success P12 preferred to read everything on the website before reaching out for help. When I asked her to call the office, she immediately found the contact information.
3	26.0 seconds Success P7 went for the Step by Step Guide.	21.5 seconds Success P8 read the submission overview and then went to the Step by Step Guide	139.3 seconds Success P9 found links to the application forms on the home page.	54.3 seconds Success P10 quickly clicked Step by Step Guide in the menu.	68.3 seconds Success P11 went to Forms and Tips. Since he has seen the Step by Step Guide in previous tasks. He would	63.2 seconds Success P12 tried to click Apply on top of the menu, but that was for RIT admission. She clicked Submit Your Research in Popular

	P7	P8	P9	P10	P11	P12
					choose to download the forms now.	Resources and found the Step by Step Guide.
5	197.2 seconds Success P7 clicked Submission at the beginning. After I reminded her to read the prompt, she found the information on the Review page. 285.2 seconds	61.9 seconds Success P8 saw Types of Review in the prompt, so she clicked Review in the menu and then Types of Review and finally Exempt categories. 19.9 seconds	46.8 seconds Success P9 quickly found Types of Review in the popular resources section.	82.7 seconds Success P10 found "Types of Review" in the menu, but he spent some time looking for the "Exempt" section on the page. 43 seconds	344.3 seconds Success P11 spent some time in the "Informed Consent" section. Later, he realized what "Review" means and found the exemption numbers. 98.7 seconds	179.9 seconds Success P12 would like to figure out what IRB means, so she clicked "Do you need an IRB?" and then she found "Types of Review" in "Popular Resources." 573.1 seconds
	Success P7 went to Documentation of Research because she assumed this page would contain comprehensive information of informed consent.	Success P8 remembered seeing this while completing previous tasks, so she found the information instantly.	Success P9 clicked Informed Consent on the menu and found parental informed consent guidelines in Research with Children.	Success P10 clicked Informed Consent on the menu and found parental informed consent guidelines in Research with Children.	Fail P11 clicked <i>Informed</i> Consent. He saw too many words on the Exempt page, but he believed the answer was somewhere in the paragraph.	Success After P12 read Informed Consent Overview and Documentation of Research, she still couldn't find the information, so she said she would choose to use the magnifying glass to search on the website. Later, she found Research With Children on the menu.
6	202.5 seconds Success P7 remembered seeing the checklist but could not remember where it was.	91.7 seconds Success P8 did not understand the prompt at the beginning. After some explanation, she remembered seeing the checklist during previous tasks and found it.	139.1 seconds Success P9 used the link on the home page and found the checklist.	30.4 seconds Success P10 found the Submission Checklist in the menu.	16.2 seconds Success P11 remembered seeing the checklist in previous tasks, so he found it immediately.	39.3 seconds Success P12 found the Submission Checklist in the menu.
7	334.9 seconds	179.0 seconds	143.2 seconds	42.4 seconds	32.0 seconds	109.1 seconds

P7	P8	P9	P10	P11	P12
Success P7 immediately went to <i>Contact</i> for the email address. She did not find a clear answer, so she went to <i>About</i> . She eventually found the information in <i>Step by Step Guide</i>	P8 first tried Apply on the upper-right corner, but that apply button was for RIT's admission. She went to the Contact page and found the email.	Success P9 clicked Submit Your Research link in popular resources on the home page and found the email in the Step by Step Guide.	Success P10 clicked Submit Your Research link in popular resources on the home page and found the email in the Step by Step Guide.	Success P11 chose to go to Contact and send his application to the first email on that page.	Success P12 clicked Submit Your Research in popular resources on the home page and found the email in the Step by Step Guide
P7 clicked the go back button in her browser several times, but the prototype did not support it. P7 could not find the link back to the home page.	P8 was confused with the two email addresses on the website. She thought the difference could be made more evident.	P9 did not expect the header to be a link back to the home page. Although according to P9's experience, download links are usually at the bottom, he thought placing links on top also makes sense because not everyone needs to read the instructions.	P10 thought the title in the orange box was clickable, but it was not. When P10 was on the Types of Review page, he scrolled over the exempt section several times, but he did not see the link to exemption categories.	P11 mentioned that he would use Ctrl+F to look for keywords to save time. The difference between the two emails could be more evident on the <i>Contact</i> page.	P12 said all the abbreviations, such as IRB and HSRO, on the website, are confusing. P12 said it was hard to make the connection to parental informed consent with <i>Research With Children</i> .

TABLE 6 USABILITY TEST 2 NOTE

6.2. Issues found in usability test 2

In usability test 2, all 6 participants were between 20 to 40 years old, including undergraduate and graduate students and high school teachers. Three participants successfully completed all seven tasks. The other 3 completed six out of seven tasks, which was an improvement from usability test 1. However, the participants seemed to spend even more extended time on each task. That was because Maze started recording when participants began reading the task descriptions, so if the participant had further questions for the moderator, the time recorded would be much longer. In addition, participants were thinking aloud during the usability test. The time recorded was not an ideal reference of how fast they completed a task.

#	Task description	Number of participants who failed
1	Do you need to submit your project for review?	2
	You want to study a topic about how digital products affect	
	kindergarten children. You want to observe and interview 10	
	children. You are not sure if your project needs to be reviewed	
	by HSRO, so you go to Human Subjects Research Office	
	website to find out.	
2	What if, after reading the definition of research and human	0
	subjects, you still have some doubts. What would you do next?	
3	Now, you know you need to submit your research for review.	0
	What will you do next?	

#	Task description	Number of participants who failed
4	You are working on the application form, and you see this	0
	question on the application form:	
	"If you believe your project qualifies for Exemption, which	
	exemption number(s) apply?	
	*The RIT Institutional Review Board (IRB) categorizes Human	
	Subjects Research into three Risk Types (Exempt, No Greater	
	than Minimal Risk, and Greater than Minimal Risk). The IRB	
	makes the final determination of risk type. For classifications,	
	please see the RIT HSRO website Types of Review."	
	Please find the exemption numbers on the website.	
5	You realized that you need to collect parental informed consent;	1
	how would you start?	
6	You have all your application forms, consent forms, and	0
	supporting materials ready. You would like to check if any	
	document is missing. What could you do?	
7	Great! You have everything ready. Where would you send your	0
	application to?	

TABLE 7 USABILITY TEST 2, NUMBER OF PARTICIPANTS WHO FAILED

• Differentiate two different contact emails

The current contact page contained two different email addresses. These two different email addresses had different purposes. When a person sees the first email on top and does not see the other one at the bottom, the person will likely assume this is the only one and directs all questions to the first address. Another issue is that the two email address descriptions are presented in two long sentences that are hard to read. Three of the participants went to *Contact* to find where to submit their applications, but this information was not clearly stated on the page.

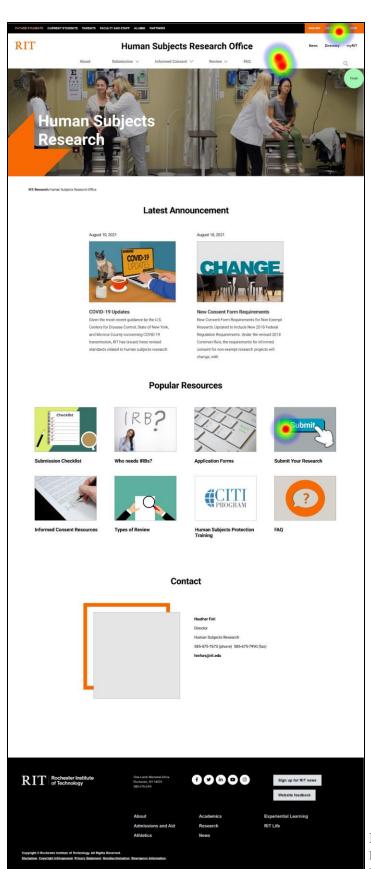


FIGURE 12 CONTACT WAS A POPULAR DESTINATION TO FIND THE SUBISSION EMAIL.

• Internal inconsistency in titles

Internal inconsistency. "Who needs IRBs?", "Definition of research and human subject" and "Do you need IRB review" all lead to the same page, "Do you need IRB review?" The differences could cause confusion. Additionally, none of the testers used the link in popular resources on the home page in task 1. This means they either didn't see it or could not connect its title with its content.

• Back to the home page link

Three participants (two desktops, one mobile) did not perceive the header on top as a link back to the home page of the HSRO Website. One participant commented, "It does not look like a link." The header of HSRO does not have an underline or any hover effect (Figure 13), so it is understandable why the participants did not consider it a link. Using the header as a link is actually a common practice across different websites, e.g., Harvard CUHS (Figure 14).



FIGURE 13 THE HEADER DID NOT LOOK LIKE A LINK FOR SOME USERS.



FIGURE 14 THE HEADER ON THE HARVARD CUHS WEBSITE IS A LINK

• The overview page did not provide enough introduction to the whole section

Three participants chose to read the higher-level information in the overview part of the

submission section and informed consent section before digging deeper. However, there were no links to navigate users to other topics on this website about submission or informed consent. For example, on the "Informed Consent Overview" page, users could not see the introduction about exempt research, non-exempt research, research with children, so users would still be confused when they see those terminologies in the menu.

6.3. Design Changes

6.3.1. Contact page

Two email addresses, one for the office and one for the director, were moved to the top of the page so that people could easily see them. The two grey boxes were links to the respective contact details at the bottom. Instead of using one sentence to describe multiple purposes for each email, the sentence was broken down into several bullet points, making it easier to read.







FIGURE 16 NEW DESKTOP CONTACT PAGE

Contact

Questions regarding the status and submission of new and continuing research protocols should be directed to:

HSRO@rit.edu

Interoffice Mail Address and Office Location:

Human Subjects Research Office (HSRO)

University Services Center (#87)

Suite 2400

2nd floor, Door plaque reads Office for VP of Research

USPS Mail Address:

Human Subjects Research Office (HSRO) University Services Center, Suite 2400 141 Lomb Memorial Drive Rochester, NY 14623-5608

Questions regarding research activities, determining whether an activity requires review by the IRB, and training should be directed to:



Heather Foti

Director

Human Subjects Research

585-475-7673 (phone) 585-475-7990 (fax)

Bldg 17, Office 3619, Mezzanine Level (Bldg 17 is attached to KGCOE (Bldg 9). Enter from Quarter Mile side, Mezzanine Level faces SMFL (Semiconductor & Microsystems Fabrication Lab)

hmfsrs@rit.edu

FIGURE 17 OLD MOBILE CONTACT PAGE

Contact

Contact HSRO for:

- Submit new and continueing research protocols
- Status of your research protocols submission

HSRO@rit.edu

Contact the director for:

- Determine whether an activity requires review by the IRB
- Trining
- · Reseach activities

hmfsrs@rit.edu

Human Subjects Research Office (HSRO)

HSRO@rit.ed

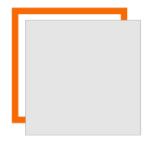
Interoffice Mail Address and Office Location: Human Subjects Research Office (HSRO) University Services Center (#87) Suite 2400

2nd floor, Door plaque reads Office for VP of Research

USPS Mail Address

Human Subjects Research Office (HSRO) University Services Center, Suite 2400 141 Lomb Memorial Drive Rochester, NY 14623-5608

Director



Heather Foti

Director

585-475-7673 (phone) 585-475-7990 (fax)

Bidg 17, Office 3619, Mezzanine Level (Bidg attached to KGCOE (Bidg 9). Enter from Quarter Mile side, Mezzanine Level faces SMFL (Semiconductor & Microsystems Fabrication Lab)

hmfsrs@rit.edu

FIGURE 18 NEW MOBILE CONTACT PAGE

6.3.2. Overview page

When asked about informed consent, three participants chose to go to the overview page and expected to learn some general knowledge and get a better idea of where to start. However, when they scrolled to the end and wanted to see more on certain topics, they had to go back to the menu and start over.

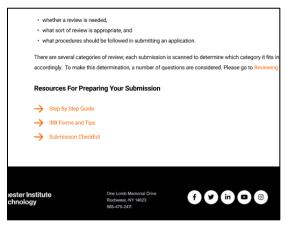


FIGURE 19 HYPERLINKS UNDER SUBMISSION OVERVIEW

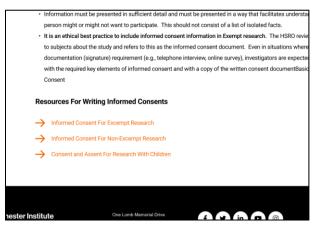


FIGURE 20 HYPERLINKS UNDER INFORMED CONSENT OVERVIEW

6.3.3. Change titles

Task 1, determining if a project needs to be reviewed, and task 5, finding parental informed consent, were two of the hardest tasks for participants. One possible reason could be the title of the page did not represent the content well. When people saw them, they had no clue what was inside, or the content did not match what they thought it was. Participants 12 said she was looking for the keyword *informed consent* or *parent*, so she did not make the connection between *research with children* and *parental informed consent*.

- All links to Do you need IRB review were made the same.
- Research with Children was changed to Consent and Assent for Research with Children.
- Exempt Research and Non-Exempt Research were changed to Informed Consent for Exempt Research and Informed Consent for Non-Exempt Research.

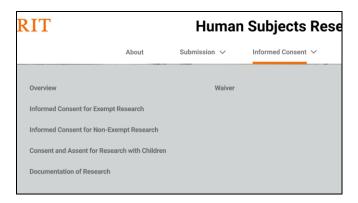


FIGURE 21 MORE DESCRIPTIVE TITLE

7. Usability Test 3 (on the prototype)

Usability test 3 was very similar to usability 2. The only thing that changed was the prototype. Six participants were invited to this test. They were between 20 to 40 years old, including undergraduate and graduate students and RIT faculty. Participants' think-aloud processes were recorded on zoom or google meet, and participants interacted with the prototype through Maze. Three participants tested the desktop version, and the other 3 tested the mobile version. This test aims to validate the changes made after usability test 2 and to find more issues.

7.1. Usability Test 3 Result

	P13	P14	P15	P16	P17	P18
	Desktop		Smartphone			
1	250 seconds	73 seconds	102 seconds	221 seconds	94 seconds	54 seconds
	Success	Success	Success	Success	Success	Success
	P13's habit was to	P14 used <i>Do you</i>	P15 usually would	P16 did not	P17's habit is to	P18 clicked Submit
	click all items in	need IRB review in	visit the <i>About</i>	understand the	quickly scan the	Your Research in
	the menu when	the popular	page when	question and did	website when	popular resources
	encountering a	resources section	encountering a	not know the	encountering a	and found the
	new website. Then,	and found the	new website. Then	hamburger menu	new website. She	answer in <i>Step 1</i> .
	he clicked <i>Step-by-</i>	answer.	she clicked	on the left was	clicked Do you	
	Step Guide and		Submission, and	clickable, but after	need IRB review?	
	followed the link		Do you need IRB	a while, she found	in <i>popular</i>	
	in Step 1 and found		review?	Do I need IRB	resources	
	the answer.			review? in the		
				menu.		
2	177 seconds	39 seconds	39 seconds	72 seconds	73 seconds	147 seconds
	Success	Success	Success	Success	Success	Success
	P13 would prefer	P14 clicked to	P15 clicked	P16 would email	P17 would first go	P18 would try to
	to read more	Contact and found	Contact and found	the office only if	to FAQ for more	find more
	content before	the director's	the director's	she could not find	help. If she could	information on this
	reaching out for	email.	email.	the answer herself.	not find the answer	website. He
	help. He checked			When I asked her	in FAQ , she would	clicked Submission
	out Checklist, IRB			to find the contact	contact someone in	Checklist. If he
	Forms, and FAQ.			information, she	the office, so she	still could not find
	He said he would			scrolled to the	found the contact	the information, he
	use google to find			bottom but could	information on the	would contact the
	more information.			not find it. Then	home page.	office.
	Contacting the			she clicked		
	office would be the			Contact in the		
	last resort.			hamburger menu.		
3	388 seconds	43 seconds	71 seconds	78 seconds	56 seconds	84 seconds

	P13	P14	P15	P16	P17	P18
	Success	Success	Success	Success	Success	Success
	P13 explored the	P14 clicked	P15 clicked	P16 said she would	P17 clicked the	P18 clicked
	Informed Consent	Submission and	Submission, then	appreciate a step	Submission	Application Forms
	page and Review	Step by Step Guide	Overview, then	by step instruction,	Checklist in the	in the <i>Popular</i>
	Page before he		Step by Step	and then she found	Popular Resources	Resources
	actually clicked		Guide. She also	the Step by Step		
	Step by Step Guide		said that she would	Guide		
	and found the		download or			
	instructions		bookmark the			
			checklist for later			
			use.			
4	220 seconds	134 seconds	64 seconds	48 seconds	72 seconds	29 seconds
	Success	Success	Success	Success	Success	Success
	P13 did not read	P14 clicked	P15 clicked	P16 clicked	P17 clicked <i>Types</i>	P18 clicked
	the instructions to	Submission and	Review and then	Review and then	of Review in	Review and then
	the end, so he	could not find	Types of Review	Types of Review	Popular Resources	Types of Review
	couldn't find the	relevant content	and found	and found	and found	and found
	information at first.	and then clicked	exemption	exemption	exemption	exemption
	I asked him to read	Informed Consent.	categories.	categories.	categories.	categories.
	it again, then he	She found <i>Exempt</i>				
	found exemption	Research Category				
	numbers.	in the <i>Informed</i>				
		Consent for				
		Exempt Research.				
5	35 seconds	27 seconds	18 seconds	89 seconds	106 seconds	91 seconds
	Success	Success	Success	Success	Success	Success
	P13 remembered	P14 clicked	P15 clicked	P16 clicked	P17 clicked	P18 clicked the
	seeing this in	Informed Consent	Informed Consent	Informed Consent	Informed Consent	Overview in the
	previous tasks, so	and then Consent	and then Consent	and then Consent	Resources in	Informed Consent
	he found the	and Assent for	and Assent for	and Assent with	Popular	section to see what
	information	Research With	Research With	<i>Children</i> , but she	Resources. She	informed consent
	immediately.	Children.	Children.	did not see	read the page and	is. Then he went to

	P13	P14	P15	P16	P17	P18
				parental informed	clicked Consent	Informed Consent
				consent at first.	and Assent for	for Exempt
					Research With	Research. He read
					Children.	the content and
						was not satisfied,
						and then he clicked
						Consent and
						Assent for
						Research with
						Children.
6	95 seconds	43 seconds	24 seconds	52 seconds	50 seconds	24 seconds
	Success	Success	Success	Success	Success	Success
	P13 would go	P14 clicked	P15 clicked	P16 clicked	P17 clicked	P18 clicked
	through Step by	Submission and	Submission and	Review because	Submission	Submission
	Step Guide again	then Submission	then Step by Step	she thought the	Checklist in	Checklist in
	before using the	Checklist	Guide and found	review meant	Popular	Popular Resources
	checklist.		Submission	reviewing her	Resources.	
			Checklist in Step 3.	application		
				package. Then she		
				clicked the		
				Submission		
				Checklist in the		
_	22	20	20	menu.	50	200
7	23 seconds	39 seconds	28 seconds	65 seconds	58 seconds	388 seconds
	Success	Success	Success	Success	Success	Success
	P13 went to Step	P14 assumed that	P15 clicked	P16 would go to	P17 clicked Submit	P18 clicked Submit
	by Step Guide and	the email would be	Submission and	the <i>Contact</i> or	Your Research in	Your Research in
	found the email in	at the bottom at the	then Step by Step	Submission to	Popular Resources	Popular
	Step 3.	checklist, and she	Guide and found	submit her	and found the	Resources, but he
		found it.	the email in <i>Step 3</i>	application. She	email in <i>Step 3</i> .	did not see the
				eventually found		email in <i>Step by</i>
						Step Guide at first.

P13	P14	P15	P16	P17	P18
			the information in		He then went to
			Contact		the Overview,
					Informed Consent,
					Training trying to
					find it. He
					eventually found
					the email in <i>Step</i>
					by Step Guide.
	"You said		Review means to	She mentioned that	P18 did not know
	exemption		review the	using accordions to	how to go back to
	categories are		submission	organize longer	the home page.
	under review, but		package for P16.	content would be	
	my brain didn't			nice.	
	trigger the word,		When P16 saw		
	review."		Consent and		
			Assent for		
			Research With		
			<i>Children</i> , she did		
			not think of		
			parental informed		
			consent at first		
			glance.		

7.2. Issues Found in Usability Test 3

Six participants all successfully completed seven tasks without any fail, which is an improvement from the original website and the first prototype. Usability test 2 and 3 both used Maze to record participants' clicks and completion time. The usability test 3 result showed improvement in completion time, but the completion time included the time when participants were reading task descriptions, asking the moderator questions, and thinking aloud, so it was not an accurate measure of how fast a participant can complete a task.

#	Task description	Avg. Completion time: Test 2	Avg. Completion time: Test 3
1	Do you need to submit your project for review?	302.6 seconds	132.3 seconds
	You want to study a topic about how digital		
	products affect kindergarten children. You want		
	to observe and interview 10 children. You are not		
	sure if your project needs to be reviewed by		
	HSRO, so you go to Human Subjects Research		
	Office website to find out.		
2	What if, after reading the definition of research	155.9 seconds	91.2 seconds
	and human subjects, you still have some doubts.		
	What would you do next?		
3	Now, you know you need to submit your	62.1 seconds	120 seconds
	research for review. What will you do next?		

i	# Task description	Avg. Completion time: Test 2	Avg. Completion time: Test 3
4	You are working on the application form, and	152.1 seconds	94.5 seconds
	you see this question on the application form:		
	"If you believe your project qualifies for		
	Exemption, which exemption number(s) apply?		
	*The RIT Institutional Review Board (IRB)		
	categorizes Human Subjects Research into three		
	Risk Types (Exempt, No Greater than Minimal		
	Risk, and Greater than Minimal Risk). The IRB		
	makes the final determination of risk type. For		
	classifications, please see the RIT HSRO website		
	Types of Review."		
	Please find the exemption numbers on the		
	website.		
	5 You realized that you need to collect parental	188.3 seconds	61.0 seconds
	informed consent; how would you start?		
-	You have all your application forms, consent	86.5 seconds	48.0 seconds
	forms, and supporting materials ready. You		
	would like to check if any document is missing.		
	What could you do?		
,	7 Great! You have everything ready. Where would	140.1 seconds	100.2 seconds
	you send your application to?		

FIGURE 22 AVERAGE COMPLETION TIME, USABILITY TEST 2 VS USABILITY TEST 3

• Review was perceived differently by different users

Menu items are usually short and concise to save space and to reduce the user's cognitive load. However, when the phrase is too short, it could be confusing. For example, the review on the main menu meant IRB's assessment on applications, but participant 16 pointed out that she thought the review in the menu was to review her application package before submission. Participant 14 also said she was not sure what was in the

review tab because she could think of more than two possible meanings of review in this circumstance.

8. Future Work

The director of HSRO, Director Foti, mentioned that she had some ideas of enriching the website with more multimedia content. However, she did not have enough technical support to do so. For easier future maintenance, the content management system needs to be more user-friendly, or RIT should provide more workshops, training courses for faculties who need to manage a website. Since people have become very reliant on websites and web applications to acquire information, they become very impatient with errors and slow response times (Duan & Chen, 2007). In the usability test on the current HSRO website, participants frequently encountered errors and verbally expressed their frustration which meant the website already lacked maintenance. No matter how good a web design is, it will not last long without regular maintenance.

Creating new content and features was out of this project's scope, but some features are worth considering for future developers. For example, Director Foti mentioned a platform for researchers to find potential participants. According to director Foti, many researchers had problems recruiting participants. Penn State University has a platform called StudyFinder (Figure 23), which is specifically for clinical research. Different studies need different types of participants. Some have age limitations; some are looking for people with specific conditions. Therefore, they set up a filter to help volunteers to find suitable studies to participate in.

Several universities, such as Harvard University, the University at Buffalo, and the University of Rochester, use electronic research submission software, e.g., Click IRB, to manage applications. Electronic research submission software provides a convenient way for prime investigators and reviewers to keep track of the progress of each submission. Applicants could also find forms and templates in the system.

Any changes made after usability test 3 were not validated by another usability test yet. One more usability test is needed to make sure there are no further issues.

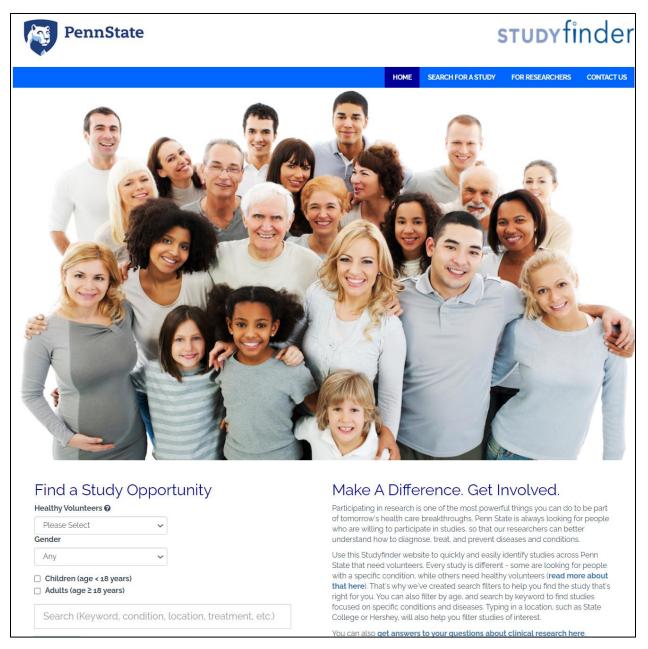


FIGURE 23 PENN STATE UNIVERSITY STUDYFINDER

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10. Appendix

Introduction

Human Subject Research Office (HSRO) at RIT reviews all research activities involving human subjects. The purpose is to protect the rights, safety, and welfare of every participant taking part in the research. When human subject researchers submit their application to HSRO for review, they must fill out an application form, complete training, provide informed consent forms, etc. The entire process could be complex and intimidating for first-timers or even experienced researchers.

Human Subject Research Office Website is usually the starting point for people who plan to submit their research for review. The most recent application forms, new policy changes, or any other essential information can all be found on the website. Therefore, the website is loaded with detailed documentation for different kinds of research. Keeping all those documentation organized and easy to find on the website is a challenge.

The Human Subject Research Website is currently managed by the director, Heather Foti. She is also the only one in charge of updating and maintaining the content on the website. The overall design of this website was made over ten years ago when the technology was not as advanced as nowadays, so it is easy to see that the website was not developed for multimedia materials and mobile devices.

However, a mobile-friendly responsive web design and rich visual aids are essential for today's users. Therefore, a makeover of the HSRO website is needed to provide a better experience for users.

Site Goals

- To educate people about the importance of protecting human rights during research
- To educate people about how to protect human rights during research
- To provide instructions on how to submit research for review

Audience definition (Personas)



just want to figure out how to submit my application.

Bio

Varun is a 2nd-year graduate student in Human-Computer Interaction. He has some knowledge about IRB from his previous courses but has never submitted an application before. His capstone project involves human subjects, and he wants to get this done fast.

Varun Kapoor

26 • 2nd Year Graduate Student

Motivations

CONVENIENCE INFORMATION EFFICIENCY EASE OF USE

Goals

- to submit his application as soon as possible
- to get his research approved as soon as possible

Pain Points

- lack of experience in the submission process
- he has very limited time because he wants to graduate sooner.



Sp Adobe Spark



better make sure what has changed since my last submission.

Professor Brown has conducted many human subjects research in RIT and other institutions before. He is quite familiar with the requirements and reviewing process. Even though he is experienced, he has a habit of checking the website to stay updated.

Ronald Brown

58 • Professor

Motivations

CONVENIENCE INFORMATION **EFFICIENCY** EASE OF USE

Goals

- to check new policies and download new forms
- to help students find the information and give them the link

Pain Points

- · poor eyesight
- trouble remembering where the information was on the website



Sp Adobe Spark



"

I don't want to ruin my professor's research.

"

Bio

Ngoc-Bich is a 4th-year undergraduate student and research assistant. She is helping her professor recruiting participants and maintain communications. This is her first time participating in human research, so she would like to know the regulations.

Ngoc-Bich Nguyen

22 • Research Assistant

Motivations

 CONVENIENCE
 * * * * *

 INFORMATION
 * * * * *

 * * * * *
 *

 EFFICIENCY
 * * * * *

 * * * * * *

Goals

- to learn about human subject research
- to find resources about recruiting participants

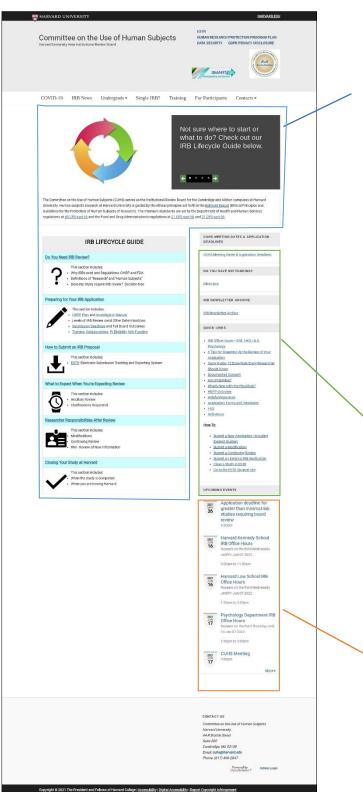
Pain Points

- lack of research experience
- need more visual aids because she reads English very slowly



Competitive Analysis

1. Harvard - Committee on the Use of Human Subjects (https://cuhs.harvard.edu/)



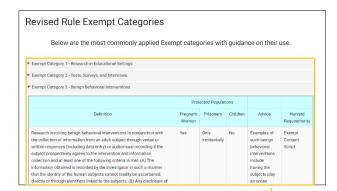
At the center of the Harvard
Committee on the Use of Human
Subjects website, there is a news slider,
and the first slide is about the IRB
lifecycle guide, which is placed right
below the slider. This is a great
approach because users can easily see
the application process. The content in
each step is broken down into several
bullet points so users could get an idea
of what is in the link before clicking on
it.

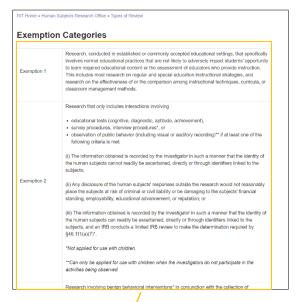
If categorization is appropriately used, it will help users find information quickly. However, the top three categories on the right only contain one link, and quick links section is clustered with many unordered links.

Although the upcoming events section is located at a less noticeable position, the date and the event are clear and easy to navigate.



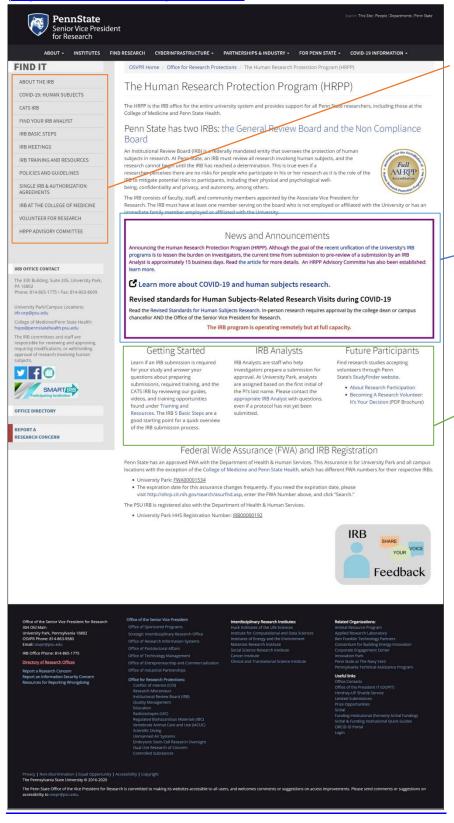
Harvard's website uses a hamburger menu for mobile versions, but part of the website was not responding to screen size changes.





Instead of showing everything in every category in the same table, Harvard's website uses accordions which make the page look cleaner and prevent overloading users.

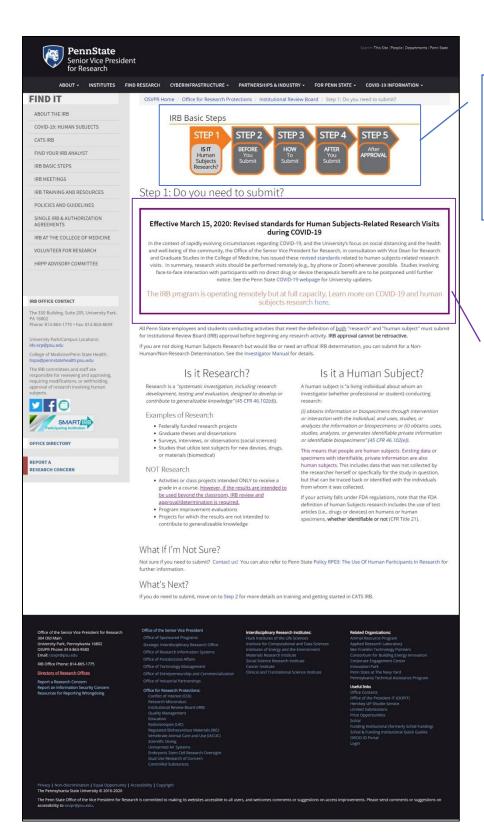
2. Penn State University - The Human Research Protection Program (HRPP) (https://www.research.psu.edu/irb



12 unorganized items in the menu could take users more time to process.

The new announcements are placed on the main page inside a purple box.

This section looks just like other parts of the page, same color, size, and style. However, the information here could be very helpful for first-time users. Links are also provided in the paragraphs.



Clear step 1, 2, 3 signs on top, which are easy to follow for users. Each block is also a link to detailed instructions.

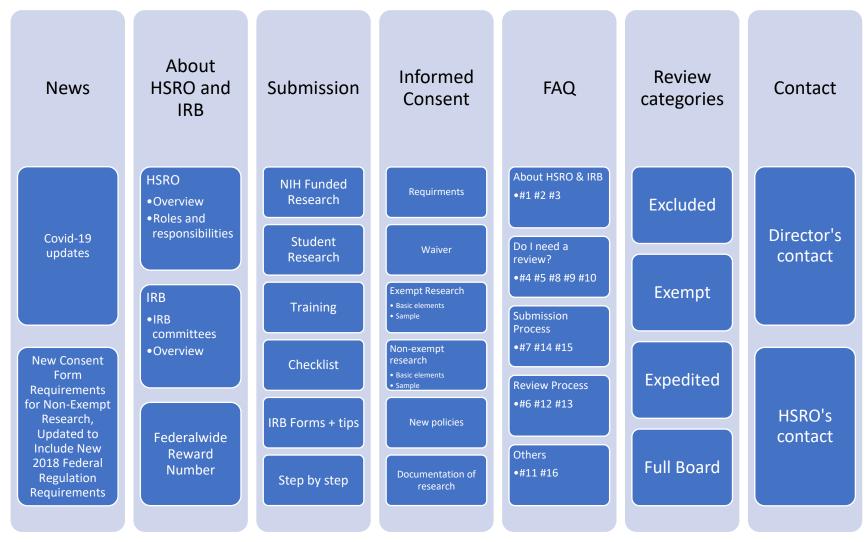
Important messages are placed in a purple box, which is consistent with the main page.



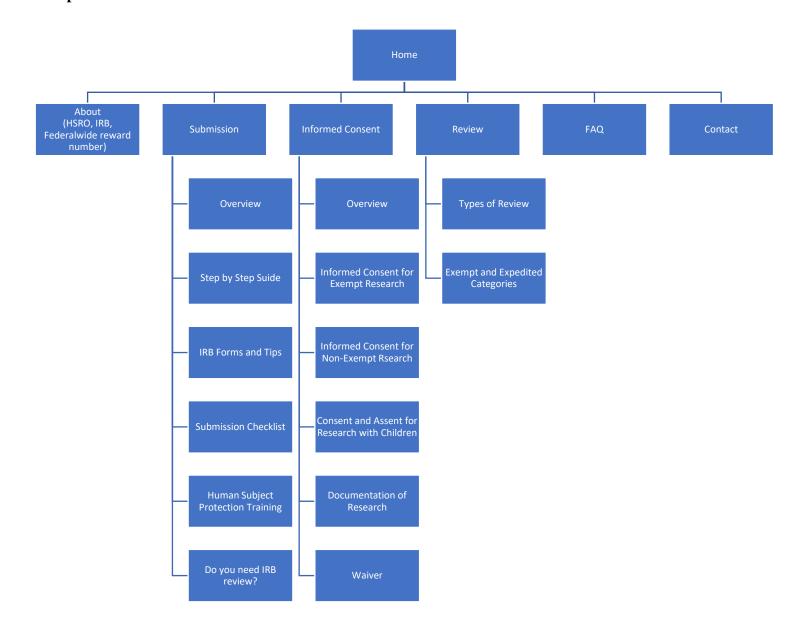
This website is not mobile-friendly, which could be a severe usability issue. When you visit the website on an iPhone X, the website would look like a smaller desktop version. The font would look very small unless you enlarge it. There is no hamburger menu or any other similar approaches to collapse the menu.

Site Content

1. Content Grouping and Labeling

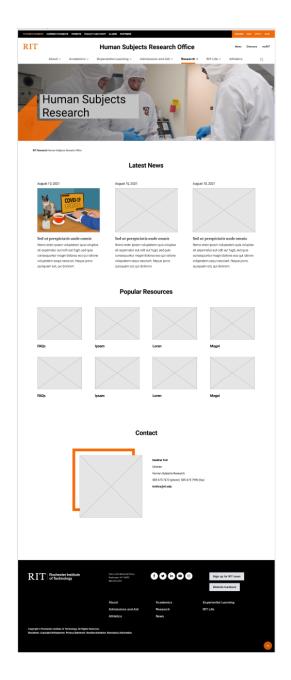


2. Site Map



Design

• Home page





• This frame can be used for a page with a lot of similar items, such as exemptions.

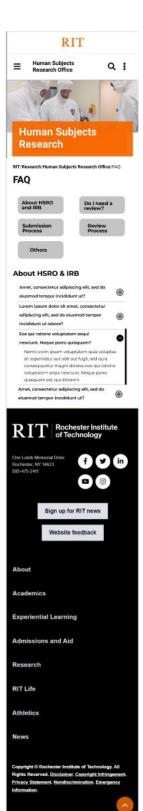




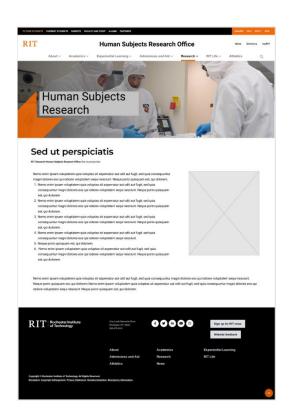


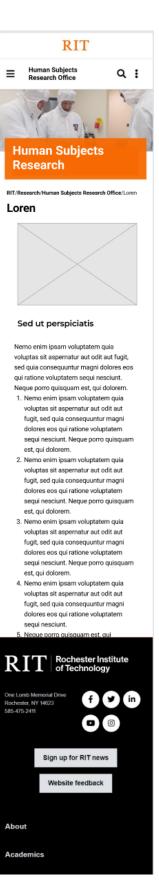
• Frequently asked questions will be sorted into five categories, and users could use the links on top to jump to the specific topic.



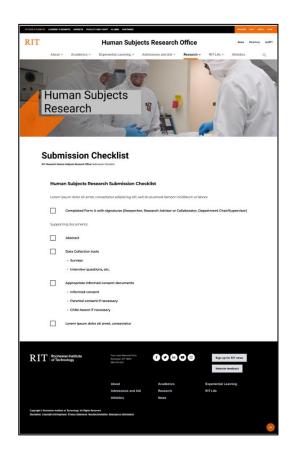


• This frame can be used on any page with an article and a picture.





• The entire checklist and a downlink can be displayed on the website.





• Step by step guide.





Style Guide

The style of the HSRO website should follow the RIT theme to maintain a consistent RIT style so that users would not mistake it as other institution's website.

RIT website's style is listed in **Brand Portal | RIT** and the following paragraph is .

a. Typography

RIT's major typeface is Neue Haas Grotesk and Milo Serif. You can see it in the headlines, body copy, and captions with different weights. Sometimes Arial and Georgia are used to substitute Neue Haas Grotesk and Milo Serif when Neue Haas Grotesk and Milo Serif are not available.

For web use, RIT Brand Portal suggested "font-family: "Helvetica Neue", "Helvetica", "Roboto", "Arial", sans-serif"

b. Color

The new design will also follow RIT's color palette, and the colors on the current HSRO website that are not consistent with RIT's color palette will be replaced.

The website will primarily use the RIT orange and white as its background color.

The hyperlinks and email address in the body copy will also be orange.

RGB 247/105/2 HEX #F76902

RGB 255/255/255 HEX #FFFFFF

The primary text color will be black. Different hues can be used to create more effects.



RGB 162/170/173 HEX #A2AAAD

RGB 208/211/212 HEX #D0D3D4 RGB 124/135/142 HEX #7C878E If more colors are needed for this project, RIT also has an accents palette, but they should be used carefully.

RGB 215/210/203	RGB 172/163/154	RGB 132/189/0	RGB 196/214/0
HEX #D7D2CB	HEX #A2AAAD	HEX #84BD00	HEX #C4D600
RGB 0/156/189	RGB 125/85/199	RGB 218/41/28	RGB 246/190/0
HEX #009CBD	HEX #7D55C7	HEX #DA291C	HEX #F6BE00

Requirements

1. Essential Requirements

- a. Responsive web design
- b. Restructure the navigation system
- c. Bug fixes (Broken links, collapsible menu, duplicated menu items)
- d. Clear step by step instructions for application submission

2. Desirable Requirements

- a. A page for current researchers to look for participants
- b. Multimedia materials
- c. Internal site search

3. Global/Accessibility Considerations

- a. The current font size in the body copy is too small. It needs to be 16 px at least.
- b. When the RIT orange is used on a web font size smaller than 18, the color should change to #C75300.
- c. All videos and pictures should be captioned.

Conclusion

The HSRO website is the major source of information for people conducting human research at RIT. Even though the content is up-to-date, the style is not. The HSRO website was built when mobile devices were unpopular, so it is not responsive to different screen sizes. To accommodate users of various devices, responsive web design is a staple for modern websites. RIT's main website has made several style changes during the past ten years, so the style of the HSRO website is not consistent with the main website. The new design will consider the mobile version and use styles similar to RIT's main website.